

MATERIAL TRANSFER AGREEMENT  
FOR THE TRANSFER OF HUMAN MATERIALS  
FOR NON-PROFIT RESEARCH PURPOSES

This Human Material Transfer Agreement ("MTA") is between the Research Foundation for Mental Hygiene ("PROVIDER"), a not-for-profit corporation, located at 150 Broadway, Suite 301, Menands, New York 12204 and \_\_\_\_\_ ("RECIPIENT"), located at \_\_\_\_\_, for the transfer of human material, \_\_\_\_\_ accompanying data, for research purposes as further defined below. PROVIDER and RECIPIENT may each be referred to as Party or collectively as Parties. This MTA will become effective on the date of the last signature below.

PROVIDER Investigator:

\_\_\_\_\_

RECIPIENT Investigator:

\_\_\_\_\_

RECIPIENT and PROVIDER agree as follows:

1. PROVIDER will transfer to RECIPIENT the following:

\_\_\_\_\_

with the following data \_\_\_\_\_  
(collectively "Human Material").

2. Descriptive title of RECIPIENT's research with Human Material is:

("Research Project").

3. RECIPIENT agrees to use Human Material for teaching and non-profit research purposes only and will not use Human Material for any commercial purposes, including selling, commercial screening, or transferring Human Material to a third party for commercial purposes.

4. PROVIDER will provide RECIPIENT with personally identifiable information or the code to personally identifiable information with Human Material:

Yes

No

If Box "Yes" is checked above, then RECIPIENT's use of Human Material is subject to:

a. The Privacy Act of 1974, as amended, at 5 U.S.C. §552a ("Privacy Act") requirements;

b. Applicable human subjects regulations and guidance, which may include 45 C.F.R. Part 46, 21 C.F.R. Parts 50 and 56, and FDA Good Clinical Practice Guidelines (ICH E6 Good Clinical Practice: Consolidated Guidance, 62 FR 25692 (1997)); and

c. RECIPIENT's agreement to:

(i) maintain any transferred personally identifiable information in a secure manner that restricts access by any individual not involved in the Research Project (e.g., for paper records – locked file cabinets or continual physical presence in a room that locks, or for electronic records – encryption and password protection); (ii) remove or destroy the information that identifies the individual who is the subject at the earliest time at which removal or destruction can be accomplished consistent with the purpose of the Research Project; and

(ii) make no further use or disclosure of the information unless approved by the PROVIDER, except as required by law.

5. RECIPIENT will only use Human Material for the Research Project.

6. RECIPIENT represents that it has obtained Institutional Review Board approval, as appropriate, to use Human Material.

7. THE RECIPIENT AGREES THAT THIS HUMAN MATERIAL MAY NOT BE USED IN HUMANS OR FOR ANY DIAGNOSTIC, PROGNOSTIC, OR TREATMENT PURPOSES.

8. RECIPIENT will allow the use of Human Materials only by RECIPIENT Investigator and RECIPIENT Investigator's research team that are under the direct supervision of RECIPIENT Investigator and only after they have been informed of and agreed to the provisions and restrictions stated herein. Any transfer of Human Material to other than RECIPIENT Investigator's research team requires the advanced written approval of PROVIDER.

9. All Confidential Information that is transferred between PROVIDER and RECIPIENT is subject to the following:

All information to be deemed confidential under this MTA shall be clearly marked "CONFIDENTIAL" by the providing Party and maintained in confidence by the receiving Party for a period of three (3) years from the receiving Party's receipt of the Confidential Information. Any Confidential Information that is orally disclosed must be reduced to writing and marked "CONFIDENTIAL" by the providing Party and such notice must be provided to the receiving Party within thirty (30) days of the oral disclosure.

For the purposes of this MTA, Confidential Information includes any scientific or business data relating to the Human Material that a Party asserts are confidential and proprietary, except for data that:

a. have been published or otherwise publicly available at the time of disclosure to the receiving Party; were in the possession of or were readily available to the receiving Party without being subject to a confidentiality obligation from another source prior to the disclosure;

- b. have become publicly known, by publication or otherwise, not due to any unauthorized act of the receiving Party;
  - c. the receiving Party can demonstrate it developed independently, or acquired without reference to, or reliance upon, such Confidential Information; or
  - d. are required to be disclosed by law, regulation, or court order.
10. RECIPIENT will not contact or make any effort to identify individuals who are or may be the sources of Human Material, without specific written approval from PROVIDER.
  11. RECIPIENT will comply with all laws, rules and regulations applicable to the handling and use of the Human Material.
  12. Either Party may terminate this MTA with sixty (60) days written notice to the other Party.
  13. When the Research Project is completed or this MTA is terminated, whichever comes first, any unused Human Material will either be destroyed in compliance with all applicable statutes and regulations or will be returned to the PROVIDER as requested by the PROVIDER.
  14. In all oral presentations or written publications concerning the use of Human Materials, RECIPIENT will acknowledge PROVIDER's contribution of Human Material unless requested otherwise by PROVIDER.
  15. Any Human Material delivered pursuant to this MTA is understood to be experimental in nature and may have hazardous properties. PROVIDER MAKES NO REPRESENTATIONS AND EXTENDS NO WARRANTIES OF ANY KIND, EITHER EXPRESSED OR IMPLIED. THERE ARE NO EXPRESS OR IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE, OR THAT THE USE OF HUMAN MATERIAL WILL NOT INFRINGE ANY PATENT, COPYRIGHT, TRADEMARK, OR OTHER PROPRIETARY RIGHTS.
  16. No indemnification for any loss, claim, damage, or liability is intended or provided by either Party under this MTA. Each Party shall be liable for any loss, claim, damage, or liability that said Party incurs as a result of said Party's activities under this MTA.
  17. This MTA shall be construed in accordance with the laws of the State of New York, without regard to any choice of law principle that would dictate the application of the law of another jurisdiction.

The Parties have executed this MTA by their respective duly authorized officers on the day and year hereinafter written. Any communication or notice to be given shall be forwarded in writing to the respective addresses listed below.

**FOR PROVIDER:**

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Robert E. Burke  
Managing Director, RFMH

Date

Mailing Address for Notices:

Justin Hladik  
Contract and Grant Administrator  
Research Foundation for Mental Hygiene, Inc.  
150 Broadway, Suite 301  
Menands, NY 12204

**FOR RECIPIENT:**

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(Signature of Authorized Official)

Date

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(Printed Name and Title)

Mailing Address for Notices:

**RECIPIENT INVESTIGATOR:**

I have read and understood the terms and conditions of this MTA and I agree to abide by them in the receipt and use of the Human Material.

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(Signature)

Date